

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	1	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A135*	US-5,504,814	04-02-1996	Miyahara		
A136*	US-5,511,208	04-23-1996	Boyles et al.		
A137*	US-5,606,668	02-25-1997	Shwed et al.		
A138*	US-5,633,929	05-27-1997	Kaliski, Jr.		
A139*	US-5,657,390	08-12-1997	Elgamal et al.		
A140*	US-5,757,795	05-26-1998	Schnell		
A141*	US-5,835,726	11-10-1998	Shwed et al.		
A142*	US-5,960,170	09-28-1999	Chen et al.		
A143*	US-5,968,176	10-19-1999	Nesbett et al.		
A144*	US-5,987,611	11-16-1999	Freund		
A145*	US-6,003,030	12-14-1999	Kenner et al.		
A146*	US-6,058,431	05-02-2000	Srisuresh et al.		
A147*	US-6,085,247-A	07-04-2000	Parsons, Jr. et al.		
A148*	US-6,151,599	11-21-2000	Shrader et al.		
A149*	US-6,219,669	04-17-2001	Haff et al.		
A150*	US-6,223,288	04-24-2001	Byrne		
A151*	US-20010037387	11-01-2001	Gilde et al.		
A152*	US-6,321,337	11-20-2001	Reshef et al.		
A153*	US-20010047406	11-29-2001	Araujo et al.		
A154*	US-20020032725	03-14-2002	Araujo et al.		
A155*	US-6,377,952	04-23-2002	Inohara et al.		
A156*	US-6,383,478	05-07-2002	Prokop et al.		
A157*	US-6,405,219	06-11-2002	Saether et al.		
A158*	US-6,405,252	06-11-2002	Gupta et al.		
A159*	US-6,412,007	06-25-2002	Bui et al.		
A160*	US-6,415,329	07-02-2002	Gelman et al.		
A161*	US-6,421,726	07-16-2002	Kenner et al.		
A162*	US-20020111972	08-15-2002	Lynch et al.		
A163*	US-6,442,571	08-27-2002	Haff et al.		
A164*	US-6,452,915	09-17-2002	Jorgensen		
A165*	US-20020138618	09-26-2002	Szabo		
A166*	US-6,463,474	10-08-2002	Fuh et al.		
A167*	US-6,463,470	10-08-2002	Mohaban et al.		
A168*	US-6,466,984	10-15-2002	Naveh et al.		
A169*	US-20020152373	10-17-2002	Sun et al.		
A170*	US-6,470,453	10-22-2002	Vilhuber		
A171*	US-20020165971	11-07-2002	Baron		
A172*	US-20020184224	12-05-2002	Haff et al.		
A173*	US-6,496,935	12-17-2002	Fink et al.		
A174*	US-6,502,131	12-31-2002	Vaid et al.		
A175*	US-6,502,125	12-31-2002	Kenner et al.		
A176*	US-20030004950	01-02-2003	Wils et al.		
A177*	US-20030046587	03-06-2003	Bheemarasetti et al.		
A178*	US-20030046586	03-06-2003	Bheemarasetti et al.		
A179*	US-20030055962	03-20-2003	Freund et al.		
A180*	US-20030067874	04-10-2003	See et al.		

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	2	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

A181*	US-20030084165-A	05-01-2003	Kjellberg et al.	
A182*	US-6,584,569-A	06-24-2003	Reshef et al.	
A183*	US-6,587,878	07-01-2003	Merriam	
A184*	US-20030131100	07-10-2003	Godon et al.	
A185*	US-2003/0131079	07-10-2003	Neale et al.	
A186*	US-20030154239	08-14-2003	Davis et al.	
A187*	US-6,609,154	08-19-2003	Fuh et al.	
A188*	US-20030172138-A	09-11-2003	McCormack et al.	
A189*	US-20030177389	09-18-2003	Albert et al.	
A190*	US-6,625,643	09-23-2003	Colby et al.	
A191*	US-6,625,645	09-23-2003	Van Horne et al.	
A192*	US-20030182431	09-25-2003	Sturniolo et al.	
A193*	US-20030182423	09-25-2003	Shafir et al.	
A194*	US-20030188001	10-02-2003	Eisenberg et al.	
A195*	US-20030191799	10-09-2003	Araujo et al.	
A196*	US-2003/0191799	10-09-2003	Araujo et al.	
A197*	US-20030198189	10-23-2003	Roberts et al.	
A198*	US-20030200234	10-23-2003	Koppich et al.	
A199*	US-6,640,248-B1	10-28-2003	Jorgensen	
A200*	US-6,640,240	10-28-2003	Hoffman et al.	
A201*	US-2003/0202480	10-30-2003	Swami	
A202*	US-20030212817	11-13-2003	Matthews et al.	
A203*	US-20030212776	11-13-2003	Roberts et al.	
A204*	US-20030223361	12-04-2003	Hussain et al.	
A205*	US-20030229718	12-11-2003	Tock et al.	
A206*	US-6,665,706	12-16-2003	Kenner et al.	
A207*	US-20030233581-A	12-18-2003	Reshef et al.	
A208*	US-20040010601	01-15-2004	Afegan et al.	
A209*	US-20040010621	01-15-2004	Afegan et al.	
A210*	US-6,691,232-A	02-10-2004	Wood et al.	
A211*	US-6,697,849	02-24-2004	Carlson	
A212*	US-20040039827	02-26-2004	Thomas et al.	
A213*	US-6,701,432	03-02-2004	Deng et al.	
A214*	US-20040049515	03-11-2004	Haff et al.	
A215*	US-6,718,380	04-06-2004	Mohaban et al.	
A216*	US-20040073512	04-15-2004	Maung	
A217*	US-20040078621	04-22-2004	Talaugon et al.	
A218*	US-20040078772	04-22-2004	Balay et al.	
A219*	US-20040095934	05-20-2004	Cheng et al.	
A220*	US-6,741,853-A	05-25-2004	Jiang et al.	
A221*	US-20040107360	06-03-2004	Herrmann et al.	
A222*	US-20040111642	06-10-2004	Peles	
A223*	US-20040139178	07-15-2004	Mendez et al.	
A224*	US-6,766,454	07-20-2004	Riggins	
A225*	US-6,772,203	08-03-2004	Feierdag et al.	
A226*	US-6,772,347-B2	08-03-2004	Xie et al.	
A227*	US-20040158429	08-12-2004	Bary et al.	
A228*	US-20040162876	08-19-2004	Kohavi	
A229*	US-20040177247	09-09-2004	Peles	
A230*	US-6,799,221	09-28-2004	Kenner et al.	

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	3	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

A231*	US-20040210320	10-21-2004	Pandya	
A232*	US-20040250124	12-09-2004	Chesla et al.	
A233*	US-20040255154	12-16-2004	Kwan et al.	
A234*	US-20040258003	12-23-2004	Kokot et al.	
A235*	US-6,850,943	02-01-2005	Teixeira et al.	
A236*	US-20050025125	02-03-2005	Kwan	
A237*	US-6,856,651	02-15-2005	Singh	
A238*	US-20050050362	03-03-2005	Peles	
A239*	US-20050055570	03-10-2005	Kwan et al.	
A240*	US-6,871,346	03-22-2005	Kumbalimutt et al.	
A241*	US-20050063519	03-24-2005	James	
A242*	US-6,873,988	03-29-2005	Herrmann et al.	
A243*	US-20050071652	03-31-2005	de Jong	
A244*	US-6,880,005	04-12-2005	Bell et al.	
A245*	US-20050086206	04-21-2005	Balasubramanian et al.	
A246*	US-6,901,072	05-31-2005	Wong	
A247*	US-6,901,075	05-31-2005	Baron	
A248*	US-20050132030	06-16-2005	Hopen et al.	
A249*	US-6,914,886	07-05-2005	Peles et al.	
A250*	US-6,920,502	07-19-2005	Araujo et al.	
A251*	US-20050188215	08-25-2005	Shulman et al.	
A252*	US-6,963,981	11-08-2005	Bailey et al.	
A253*	US-20050251573	11-10-2005	Merkow et al.	
A254*	US-20050254652	11-17-2005	Engler et al.	
A255*	US-20050262063	11-24-2005	Conboy et al.	
A257*	US-20060015570	01-19-2006	Khemani et al.	
A258*	US-6,993,016	01-31-2006	Liva et al.	
A259*	US-20060029016	02-09-2006	Peles	
A260*	US-20060050703	03-09-2006	Foss	
A261*	US-20060069912	03-30-2006	Zheng et al.	
A262*	US-7,036,051	04-25-2006	Fernandes	
A263*	US-7,100,054	08-29-2006	Wenisch et al.	
A264*	US-7,102,996	09-05-2006	Amdahl et al.	
A265*	US-20060206931	09-14-2006	Dillaway et al.	
A266*	US-7,113,962	09-26-2006	Kee et al.	
A267*	US-7,114,180	09-26-2006	DeCaprio	
A268*	US-7,120,666	10-10-2006	McCanne et al.	
A269*	US-7,136,645-A	11-14-2006	Hanson et al.	
A270*	US-20070061871	03-15-2007	Simpkins et al.	
A271*	US-7,260,840	08-21-2007	Swander et al.	
A272*	US-7,269,664	09-11-2007	Hutsch et al.	
A273*	US-7,353,533-A	04-01-2008	Wright et al.	
A274*	US-7,363,347-A	04-22-2008	Thomas	
A275*	US-7,522,732	04-21-2009	Whitehead	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
B4		EP-0 442 839 A2	08-21-1991	Ibm		
Examiner Signature			Date Considered			

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	4	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

B5	EP-0 643 514 A2	03-15-1995	Ibm		
B6	EP-0863453	09-09-1998	Xerox Corp		
B7	EP-0927921	07-07-1999	Casio Computer Co Ltd		
B8	WO-99/60462	11-25-1999	NETWORK ASSOCIATES INC		
B9	WO-200051290	08-31-2000			
B10	EP-1047239	10-25-2000	FUJITSU LTD		
B11	CA-2307008	10-29-2000	ATTACHMATE CORP		
B12	EP-1049306	11-02-2000	ATTACHMATE CORP		
B13	JP-2000307650	11-02-2000	FUJITSU LTD		
B14	WO-200137517	05-25-2001			
B15	WO-0175632	10-11-2001	QUOVA INC.		
B16	CA-2421609	03-21-2002	NETMOTION WIRELESS INC		
B17	WO-0223362	03-21-2002	Netmotion Wireless, Inc.		✓
B18	WO-0237267	05-10-2002	Sun Microsystems, Inc.		✓
B19	AU-3403602	05-15-2002	SUN MICROSYSTEMS INC		
B20	WO-02/39264	05-16-2002	SURGENT NETWORKS INC		
B21	WO-02/39666	05-16-2002	SURGENT NETWORKS INC		
B22	WO-02/39275	05-16-2002	SURGENT NETWORKS INC		
B23	WO-02/39301	05-16-2002	SURGENT NETWORKS INC		
B24	WO-02/39263	05-16-2002	SURGENT NETWORKS INC		
B25	WO-02/39261	05-16-2002	SURGENT NETWORKS INC		
B26	WO-02/39276	05-16-2002	SURGENT NETWORKS INC		
B27	WO-02/39262	05-16-2002	SURGENT NETWORKS INC		
B28	WO-02/39693	05-16-2002	SURGENT NETWORKS INC		
B29	WO-02/39221	05-16-2002	SURGENT NETWORKS INC		
B30	WO-02/39695	05-16-2002	SURGENT NETWORKS INC		
B31	WO-02/39260	05-16-2002	SURGENT NETWORKS INC		
B32	WO-02/41575	05-23-2002	SURGENT NETWORKS INC		
B33	WO-02/43320	05-30-2002	SURGENT NETWORKS INC		
B34	WO-02/43364	05-30-2002	SURGENT NETWORKS INC		
B35	WO-02/42922	05-30-2002	SURGENT NETWORKS INC		
B36	WO-02/46944	06-13-2002	SURGENT NETWORKS INC		
B37	WO-02/46945	06-13-2002	SURGENT NETWORKS INC		
B38	WO-02/46925	06-13-2002	SURGENT NETWORKS INC		
B39	WO-02/58349	07-25-2002	PRIMARY NETWORKS D B A ACME PA		
B40	WO-02/069604	09-06-2002	SURGENT NETWORKS INC		
B41	WO-02/093369	11-21-2002	SOFTRICITY INC		
B42	WO-02/103521	12-27-2002	CABLE & WIRELESS INTERNET SERV		
B43	EP-1289225	03-05-2003	PRIMARY NETWORKS D B A ACME PA		
B44	EP-1330705	07-30-2003	SUN MICROSYSTEMS INC		

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	5	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

	B45	EP-1364296	11-26-2003	NETMOTION WIRELESS INC		
	B46	WO-2004003879	01-08-2004	PIRANHA MEDIA DISTRIB INC et al.		
	B47	WO-2004006041	01-15-2004	KATES RONALD E et al.		
	B48	EP-1388812	02-11-2004	KATES RONALD E DR et al.		
	B49	WO-2004017601	02-26-2004	GROOVE NETWORKS INC		
	B50	JP-2004509539	03-25-2004			
	B51	WO-2004051964	06-17-2004	FUNK SOFTWARE INC		
	B52	WO-2004066278	08-05-2004	EQUALLOGIC INC et al.		
	B53	WO-2004090672	10-21-2004	NETWORK CLARITY INC et al.		
	B54	WO-2005024567	03-17-2005	SPEARMAN ANTHONY C et al.		
	B55	WO-2005024665	03-17-2005	MICROSOFT CORP et al.		
	B56	WO-2005024550	03-17-2005	MICROSOFT CORP et al.		
	B57	WO-2005029313	03-31-2005	MICROSOFT CORP		
	B58	WO-2005029363	03-31-2005	MICROSOFT CORP		
	B59	WO-2005074232	08-11-2005	JUNIPER NETWORKS INC et al.		
	B60	WO-2005084232	09-15-2005	CISCO TECH IND et al.		
	B61	WO-2006012533	02-02-2006	SOFTRICITY INC et al.		
	B62	WO-2006017388	02-16-2006	SOFTRICITY INC et al.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with a single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C81	Advisory action for appl 10/711731 dated 01/21/09 (CTX-123).	
	C82	Anonymous, "Health Canada Takes Its Network Pulse," Communications News, 48, October 2001, available at http://www.findarticles.com/p/articles/mi_m0DUD/is_5_23/ai_86039142 .	
	C83	Anonymous, "Multiple Platforms Bring Multiple Challenges," Communications News, 56, October 2001, available at http://www.findarticles.com/p/articles/mi_m0CMN/is_10_38/ai_79370488 .	
	C84	Anonymous, "Remote Access," Secure Computing, 47-60, October 1997.	
	C85	ANONYMOUS: "Citrix Metaframe 1.8 - Backgrounder", Internet Publication, 24 April 1999 (1999-04-240, XP002217973).	
	C86	Antonoff, M., "Writing In A Spreadsheet," Personal Computing, 51-54, 1987.	
	C87	Ao et al., "A Hierarchical Policy Specification Language, and Enforcement Mechanism, for Governing Digital Enterprises", 3rd IEEE International Workshop on Policies for Distributed Systems and Networks (Policy 2002), 38-49, IEEE CS Press, 2002, available at http://www.cs.rutgers.edu/~tdnguyen/pubs/ao-policy-2002.pdf .	

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	6	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

C88	Back et al., "Contracts, Games and Refinement," TUCS Technical Report No. 138, Turku Centre for Computer Science, 1-15, November 1997.	
C89	Beers, C., "McAfee Shores Up Your Defenses," Network Computing, 38, June 2003, available at http://www.networkcomputing.com/1412/1412sp3.html .	
C90	Bird, T., "Reduce the Threat from Computers," Communications News, 36, 38-39, March 2005, available at http://www.comnews.com/stories/articles/0305/0305reduce_threat.htm .	
C91	Brekne, T., "Mobile Agents and (In-)Security," Telektronikk, 34-46, 2000.	
C92	Carvalho et al., "Supporting Flexible Data Feeds in Dynamic Sensor Grids Through Mobile Agents," Lecture Notes In Computer Science Vol. 2535, Proc. 6th International Conference on Mobile Agents (MA 2002), 171-185, Springer-Verlag, Germany, 2002.	
C93	Cheng et al., "Adjusting the Autonomy of Collections of Agents in Multiagent Systems," Lecture Notes In Computer Science Vol. 3501, 33-37, Advances in Artificial Intelligence: Proc. 18th Conference of the Canadian Society for Computational Studies of Intelligence (Canadian AI 2005), Springer-Verlag, Germany, 2005.	
C94	Citrix Metaframe XPA for windows 2002.	
C95	Corradi et al., "Policy-Driven Management of Agent Systems," Lecture Notes In Computer Science Vol. 1995, Policies for Distributed Systems and Networks: Proc. International Workshop (Policy 2001), 214-229, Springer-Verlag, Germany, 2001.	
C96	Dulay et al., "A Policy Deployment Model for the Ponder Language," Proc. IEEE/IFIP International Symposium on Integrated Network Management (IM 2001), 529-543, Seattle, Washington, USA, IEEE Press, 2001.	
C97	EP examination report for appl 07115382.9 dated 05/23/08 (CTX-098EP)	
C98	Esposito, A. et al., "Integrating Concurrency Control and Distributed Data into Workflow Frameworks: An Actor Model Perspective," 2000 IEEE International Conference on Systems, Man, and Cybernetics, vol. 3, 2110-2114, IEEE Press, 2000.	
C99	European Patent Office Examination Report dated 08-17-2007 for Application no. 05798714. 3 pages	
C100	European Search Report for European Application No. 08 00 9196, date of completion October 23, 2008. (7 pages).	
C101	Exam Report for EP appln 05798714.1 dated 05/19/09 (CTX-123EP)	
C102	Feldman, M., "Enterprise Wrappers for Information Assurance," Proc. DARPA Information Survivability Conference and Exposition (DISCEX '03), IEEE Press, 2003.	
C103	Fratto, M., "Hammering Out a Secure Framework," Network Computing, 79-80, 82, 84-87, 2000, available at http://www.networkcomputing.com/1101/1101f3.html .	
C104	Funk Software, "Funk Software's Endpoint Assurance Solution. The Secure Product Foundation for Endpoint Integrity," 2005, available at: http://www.juniper.net/welcome_funk.html .	
C105	Graniero, P.A. et al., "Investigating the Role of Fuzzy Sets in a Spatial Modeling Framework," Proc. 9th IFSA World Congress and 20th NAFIPS International Conference, 2370-2375, IEEE Press 2001.	
C106	Guy III, E.T., "An Introduction to the CAD Framework Initiative," Electro 1992 Conference Record, 78-83, Massachusetts, May 1992.	
C107	International Searching Authority, "International Search Report," PCT Application No. PCT/US05/028605, mailed on January 18, 2006, 7 pgs.	
C108	International Searching Authority, "International Search Report," PCT Application No. PCT/US05/028607, mailed on March 31, 2006, 10 pgs	
C109	International Searching Authority, "Partial International Annexed to Invitation to Pay fees," PCT Application No. PCT/ US05/028607, mailed on December 14, 2005, 7 pgs.	
C110	International Searching Authority, "Written Opinion," PCT Application No. PCT/ US05/028605,	

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	7	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

	mailed on January 18, 2006, 7 pgs.	
C111	International Searching Authority, "Written Opinion," PCT Application No. PCT/ US05/028607, mailed on March 31, 2006, 10 pgs.	
C112	Jin, H. et al., "A Distributed Dynamic μFirewall Architecture With Mobile Agents And KeyNote Trust Management System," Lecture Notes in Computer Science, vol. 2513, Proc. 4th International Conference on Information and Communications Security, (ICICS 2002), 13-24, Springer-Verlag, Germany, 2002.	
C113	Jun, M. et al., "Application of Mobile Scanning Agent in the Network Security," J. of Systems Engineering and Electronics, 15(3): 371-376, 2004.	
C114	Juniper Networks NetScreen-SA 5000 Series. Spec Sheet Access Appliances, Juniper Networks, Sunnyvale, CA., 4 pages	
C115	Juniper Networks, "Juniper Networks Infranet Controllers Provide Unified Access Control for all Users throughout Your Network," (October 2005), available at: http://www.juniper.net/products/ua/dsheet/100137.pdf	
C116	Keromytis, A.D. et al., "Transparent Network Security Policy Enforcement," Proc. USENIX Technical Conference, 215-225, San Diego, CA, USA, 2000.	
C117	Kim, S.C. et al., "Study of Security Management System Based on Client/ Server Model," 1403-1408, IEEE Press, 1999.	
C118	Klein, D., "Developing Applications with a UIMS," Proc. USENIX Applications Development Symposium, 37-56, 1994.	
C119	Kosar, T. et al., "A Framework for Reliable and Efficient Data Placement in Distributed Computing Systems," Journal of Parallel and Distributed Computing, vol. 65 (10), 1146-1157, Academic Press, Inc., Orlando, FL, USA, 2005.	
C120	Krief, F. et al., "An Intelligent Policy-Based Networking Environment For Dynamic Negotiation, Provisioning And Control Of QoS," IFIP TC6/WG6.2 & WG6.7 Conference on Network Control and Engineering for QoS, Security and Mobility, (Net-Con 2002), 285-290, Kluwer Academic Publishers, 2002.	
C121	Law, K.L.E. et al., "Performance of a Multi-Tiered Policy-Based Management System," IFIP TC6/WG6.2 & WG6.7 Conference on Network Control and Engineering for QoS, Security and Mobility, (Net-Con 2002), 203-214, Kluwer Academic Publishers, 2002.	
C122	Law, K.L.E. et al., "Policy-Based Management With Active Networks," IFIP TC6/WG6.2 & WG6.7 Conference on Network Control and Engineering for QoS, Security and Mobility, (Net-Con 2002), 129-140, Kluwer Academic Publishers, 2002.	
C123	Law, K.L.E. et al., "UPM: Unified Policy-Based Network Management," Proc. SPIE, (ITCom 2001), Vol.4523, 326-337, Denver, CO, USA, 2001.	
C124	Lee, D.W. et al., "Managing Fault Tolerance Information in Multi-Agents Based Distributed Systems," Lecture Notes in Computer Science, vol. 2690, Intelligent Data Engineering and Automated Learning, (IDEAL 2003), 104-108, Springer-Verlag, Germany, 2003.	
C125	Maes, S. et al., "Identifiability of Causal Effects in a Multi-Agent Causal Model," IEEE/WIC International Conference on Intelligent Agent Technology, (IAT'03), 605, IEEE Press, 2003.	
C126	Mahler, R.P. et al. "Technologies for Unified Collection and Control of UCAVs," Proc. Of SPIE vol. 4729, 90-101, 2002.	
C127	Matsuura, S. et al., "An Extension of ECA Architecture and its Application to HTML Document Browsing," IEEE International Conference on Systems, Man, and Cybernetics, vol. 1, 738-743, IEEE Press 1999.	
C128	Maxim, M. and Venugopal, A., "Securing Agent Based Architectures," Lecture Notes In Computer Science Vol. 2480, Proc. First International Conference on Engineering and Deployment of Cooperative Information Systems, 220-231, Springer-Verlag, Germany, 2002.	
C129	Meyer, B. et al., "Towards Implementing Policy-Based Systems Management," Distrib. Syst.	

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	8	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

	Engng vol. 3, 78-85, The Institution of Electrical Engineers and IOP Publishing, Ltd., 1996, available at http://www.mobile_ifi.lmu.de/common/Literatur/MNMPub/Publikationen/map96/PDF-Version/map96.pdf .	
C130	Moltz, D., "Odyssey Makes Wireless LANs a Safe Trip," Networking Computing, 24, 26, 2002, available at http://www.networkcomputing.com/1311/1311sp2.html .	
C131	Montanari, R. et al., "Context-Based Security Management for Multi-Agent Systems," Proc. Second IEEE Symposium on Multi-Agent Security and Survivability (MAS&S 2005), IEEE Press, 2005.	
C132	Neuman et al., The Kerberos Network Authentication Service (V5), Internet draft, work in progress, Sep. 2004.	
C133	Non Final Office Action dated 06-06-2008. USPTO Application No. 10/711,729.	
C134	Office action for appl 10/711731 dated 04/17/09 (CTX-123).	
C135	Office action for appl 10/711731 dated 06/17/08 (CTX-123).	
C136	Office action for appl 10/711731 dated 10/20/08 (CTX-123).	
C137	Office Action for appl. 10/711730 dated 04/28/09 (CTX-118).	
C138	Office Action for appl. 10/711730 dated 06/27/08 (CTX-118).	
C139	Office Action for appl. 10/711730 dated 12/11/08 (CTX-118).	
C140	Office Action for appl. 10/956764 dated 10/08/08 (CTX-121).	
C141	Office action for appl. 11/272598 dated 10/07/08 (CTX-098DV)	
C142	Office Action for AU appln 2005292566 dated 05/06/09 (CTX-123AU).	
C143	Office Action for CN appln 2005800470611 dated 07/17/09 (CTX-098CN).	
C144	Office Action for US appln 10711729 dated 09/04/09 (CTX-124).	
C145	Office Action for US appln 10711731 dated 09/21/09 (CTX-123).	
C146	Office Action for US appln 10956764 dated 07/07/09 (CTX-121).	
C147	Office Action for US appln 11255311 dated 06/23/09 (CTX-096).	
C148	Office Action for US appln 11272598 dated 07/23/09 (CTX-098DV).	
C149	Page, S.E., "Self Organization and Coordination," Computational Economics, vol. 18, 25-48, Kluwer Academic Publishers, 2001.	
C150	Palmer, D. et al., "Decentralized Cooperative Auction for Multiple Agent Task Allocation Using Synchronized Random Number Generators," Proc. IEEE/RSJ International Conference on Intelligent Robots and Systems, 1963-1968, IEEE Press, 2003.	
C151	Patwardhan, A. et al., "Enforcing Policies in Pervasive Environments," First Annual International Conference on Mobile and Ubiquitous Systems: Networking and Services, (MobiQuitous '04), 299-308, IEEE Press, 2004.	
C152	Randic, M. et al., "Object by Value Transfer Mechanisms for Obligation Policy Enforcement Object Loading," Proc. 12th IEEE Mediterranean Electrotechnical Conference, (Melecon 2004), IEEE Press, 2004.	
C153	Restriction requirement for appl. 10/956764 dated 04/01/09 (CTX-121).	
C154	Suri, N. et al., "DAML-Based Policy Enforcement for Semantic Data Transformation and Filtering in Multi-Agent Systems," Lecture Notes in Computer Science, vol.2691, Proc. 2nd International Joint Conference on Autonomous Agents and Multi-Agent Systems, (AAMAS 2003), 1132-1133, ACM Press, New York, USA, 2003.	
C155	Suri, N. et al., "Enforcement of Communications Policies in Software Agent Systems through Mobile Code," Proc. 4th International Workshop on Policies for Distributed Systems and Networks, (Policy'03), 247, IEEE Press, 2003.	
C156	Takahashi, K. et al., "Integrating Heterogeneous and Distributed Information by Linking it to Structured Information as an 'Information Integration Directory,'" J81-D-I(5): 443-450, 1998.	

Examiner Signature	Date Considered
--------------------	-----------------

Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	10/711,729
				Filing Date	September 30, 2004
				First Named Inventor	Timothy Ernest Simmons
				Art Unit	2436
				Examiner Name	Cervetti, David Garcia
Sheet	9	of	9	Attorney Docket Number	
2006579-0255 (CTX-124)					

C157	TIERLING, E.: "Gezaehmtes Monster", CT Magazin Fuer Computer TTechnik, Verlag Heinz Heise GMBH., Hannover, DE, no. 10, 1998, pages 226-228, 230, 23, XP000740851, ISSN: 0724-8679.	
C158	Uszok, A. et al., "KAoS Policy and Domain Services: Toward a Description-Logic Approach to Policy Representation, Deconfliction, and Enforcement," Proc. 4th International Workshop on Policies for Distributed Systems and Networks, (Policy'03), 93, IEEE Press, 2003.	
C159	Wang, D. et al., "Study oOn SOAP-Based Mobile Agent Techniques," Lecture Notes in Computer Science, vol. 2480, Proc. First International Conference on Engineering and Deployment of Cooperative Information Systems, 208-219, Springer-Verlag, Germany, 2002.	
C160	Wittner, O. and Helvik, B.E., "Distributed Soft Policy Enforcement by Swarm Intelligence; Application To Loadsharing And Protection," Ann. Telecommun., vol. 59, no. 1-2, 10 - 24, 2004.	
C161	Xia, H. et al., "Using Secure Coprocessors to Protect Access to Enterprise Networks," Lecture Notes in Computer Science, vol.3462, Proc. International IFIP-TC6 Networking Conference, (Networking 2005), Springer-Verlag, Germany, 2005, available at http://www.cs.pitt.edu/~jcb/papers/net2005.pdf .	
C162	Xu, Y. et al., "An Agent-Based Data Collection Architecture for Distributed Simulations," Int'l J. of Modelling and Simulation, 24(2), 55-64, 2004.	
C163	Yang, K. et al., "Service and Network Management Middleware for Cooperative Information Systems through Policies and Mobile Agents," Lecture Notes in Computer Science, vol. 2480, Proc. First International Conference on Engineering and Deployment of Cooperative Information Systems, 232-246, Springer-Verlag, Germany, 2002.	
C164	Yang, S., "Setting up a Secure Public Workstation," "Iols '99: Proceedings of the 14th Integrated Online Library Systems Meeting May 19-20, 1999,", May 1998.	
C165	Yocom, B., et al., "A First Look at Wireless Security Products," Business Comm. Review, 36-48, October 2003.	
C166	Yu, Y. et al., "Quality of Service Policy Control in Virtual Private Networks," Proc. Of SPIE, vol. 5282, 1055-1060, 2003.	
C167	Zhang, Y. and You, J., "An RBAC Based Policy Enforcement Coordination Model In Internet Environment," Lecture Notes in Computer Science, vol. 2480, Proc. First International Conference on Engineering and Deployment of Cooperative Information Systems, 466-477, Springer-Verlag, Germany, 2002.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	Date Considered
--------------------	-----------------